

Dhara Hemal Mehta

From: anmiwirc@googlegroups.com on behalf of ANMI <ceo@anmi.in>
Sent: Friday, May 26, 2023 12:46 PM
Subject: ANMI Compliance Desk - Communication received from NSE - Advisory to Members
Attachments: PR_Vinay Agarwal, Economic Consultancy.pdf; PR_Ashish Agarwal, Ganesh Traders.pdf

Dear Member,

Please find below advisory from NSE which reads as follows for your reference and compliance, if any, please:

"Dear All,

It has been brought to the notice of the Exchange that-

- person named “Vinay Agarwal” associated with entity named “Economical Consultancy” operating through mobile number “9559984351”, is providing securities market tips for trading and assuring returns on investment in stock market. He is also offering to handle trading account of investor by asking investors to share their credentials.
- person named “Ashish Agarwal” associated with entity named “Ganesh Traders” operating through mobile number “7202040635”, is providing securities market tips for trading and assuring returns on investment in stock market. He is also offering to handle trading account of investor by asking investors to share their credentials.

Please find attached Press release issued in this regard.

The investors are cautioned and advised not to subscribe to any such scheme/ product offered by any person/entity offering indicative/assured/guaranteed returns in the stock market as the same is prohibited by law. Further, investors are advised not to share their trading credentials such as user id/ password with anyone. It may also be

noted that the said person/entity is not registered either as a member or authorized person of any registered member of the National Stock Exchange of India Limited.

Participation in such prohibited schemes is at investors' own risk, cost and consequences as such schemes are neither approved nor endorsed by the Exchange.

The investors may note that for any kind of disputes relating to such prohibited schemes none of the following recourses will be available to investors:

1. Benefits of investor protection under Exchange's Jurisdiction
2. Exchange dispute resolution mechanism
3. Investor grievance redressal mechanism administered by Exchange.

Investors are advised to take note of the above."

Thanks & Regards,

Dr. V. Raghavendra Prasad
Chief Executive Officer,
Association of National Exchanges Members of India (ANMI)
National Secretariat
Office No. 403, Swaroop Centre, Opp. Mistry Complex,
Near Om Nagar Circle, J.B. Nagar, Andheri East,
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PRESS RELEASE

Mumbai, 24 May 2023

Caution for Investors

It has been brought to the notice of the Exchange that person named “Ashish Agarwal” associated with entity named “Ganesh Traders” operating through mobile number “7202040635”, is providing securities market tips for trading and assuring returns on investment in stock market. He is also offering to handle trading account of investor by asking investors to share their credentials.

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Issued in interest of investors

About National Stock Exchange of India Limited (NSE):

National Stock Exchange of India (NSE) is the world's largest derivatives exchange by trading volume (contracts) as per the statistics maintained by Futures Industry Association (FIA) for calendar year 2022. NSE is ranked 3rd in the world in the cash equities by number of trades as per the statistics maintained by the World Federation of Exchanges (WFE) for calendar year 2022. NSE was the first exchange in India to implement electronic or screen-based trading. It began operations in 1994 and is ranked as the largest stock exchange in India in terms of total and average daily turnover for equity shares every year since 1995, based on SEBI data. NSE has a fully integrated business model comprising exchange listings, trading services, clearing and settlement services, indices, market data feeds, technology solutions and financial education offerings. NSE also oversees compliance by trading, clearing members and listed companies with the rules and regulations of SEBI and the exchange. NSE is a pioneer in technology and ensures the reliability and performance of its systems through a culture of innovation and investment in technology.

For more information, please visit: www.nseindia.com

For any media queries please contact:

Email ID: cc@nse.co.in

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